



NextGenAl Tech Showcase

Official Terms and Rules

By registering for the NextGenAl Tech Showcase, each solution provider agrees to abide by these Terms and Rules (the "Rules").

Organizer:

The NextGenAl Tech Showcase ("Tech Showcase" or "Event") is organized and conducted by the Alliance for Innovative Regulation Institute (AIR), in partnership with the Cambridge SupTech Lab (jointly referred to as the "Organizer"). The Tech Showcase, is one of a series of initiatives within AIR's NextGenAl - The Future of Financial Oversight and Protection program.

Objectives:

The Tech Showcase is a technology-based initiative to showcase existing Generative Al based solutions that support financial and regulatory authorities in their supervision and consumer protection objectives, and create opportunities for connection and dialogue, with the objective of: (i) helping regulators understand how solutions may meet their needs and consumer protection objectives, and (ii) helping solution providers ("Participants") enhance and improve their solutions to better meet regulator needs and protect consumers.

Themes:

The themes and corresponding use-cases for the Tech Showcase have resulted from multiple round-tables and discussions with regulatory agencies. Each solution provider can submit a solution addressing at least one of the themes and its use-cases. Further details on the themes and use-cases can be found on the <u>event website</u>.

Publicity and Promotion:

The Tech Showcase events may be announced and promoted on AIR and its partner websites, and on social media platforms such as LinkedIn, Facebook, X, Instagram, and YouTube. Private social media companies whose platforms are utilized by the Organizer in carrying out such publicity and promotional activities are not thereby also considered to be organizers. Participants are reminded that they are subject to the terms of service and any agreement contained therein of any private platform with which they might engage in the course of participating in the Tech Showcase.

Eligibility:

- There is no limit to the participant size from a "Solution Provider" organization; however, only one representative should register and submit the solution, including acknowledgement of the rules.
- Individuals must be at least 18 years old on the date of Tech Showcase registration, and there is no upper age limit for participating.
- Have a solution that addresses at least one of the themes and its use cases. The solution can be at any stage of development, such as Concept, Prototype, Minimum Viable Product (MVP) or Deployed to End Users.
- The solution needs to include a Generative AI based component.
- The solution should cater to one of the following end users: Financial authorities, regulatory agencies, central banks, or regulated financial entities.

Registration:

Solution providers wishing to participate in the Tech Showcase must register through the event webpage by completing and submitting the "Call for Solutions" form. The submission questionnaire is also provided to give an overview of the details required for registration. The solutions submission link and the questionnaire can be accessed <u>here</u>.

Solutions submitted by the Tech Showcase participants must conform to the following terms:

- Solutions must reflect the original work of the solution providers.
- Solution providers whose solutions include any third party material (such as open-source data and/or code) must possess requisite documentation of all appropriate licenses/permissions/consents and releases for having used such third party material.
- Solutions must not violate or infringe upon the intellectual property, privacy, publicity, or other legal rights of any third party.

- Solutions must not contain any advertisements or solicitation.
- Solutions must not contain anything that, in the judgment of the Organizer, is or may be construed as: (i) threatening, harassing, degrading or hateful; (ii) defamatory; (iii) fraudulent or tortious; (iv) obscene, indecent, sexually explicit, disrespectful, or otherwise objectionable; or (v) protected by an intellectual property or other proprietary right without the express prior consent of the owner of such right.
- Solutions must conform to applicable laws and accord with norms of civil discourse. The content of a solution must be suitable for a global, public audience.
- Solutions may not contain any material that would give rise to criminal or civil liability or that encourages conduct constituting a criminal offense.
- Solutions may not be the subjects of any actual or threatened litigation or claim.
- Solutions must otherwise comply in all respects with these Rules.

Selection Criteria:

Application does not guarantee participation. A solution provider selected to participate in the Tech Showcase is referred to as a Participant herein. Solution providers selected to participate in the Tech Showcase will be notified. Notwithstanding the eligibility and selection criteria, the Organizer reserves the right to limit the number of Participants in the Tech Showcase due to capacity, including by applying the selection criteria below. The criteria that will be used for selection include:

- The solution meets all the basic eligibility requirements.
- The solution is relevant to regulatory agencies and regulated entities and addresses a particular challenge or pain point (value proposition).
- The solution needs to be relevant from a technology perspective.
- The solution needs to have considered safety and data privacy.
- The solution should be feasible for customization and implementation from an end-user perspective.

Components:

The Tech Showcase has the following three components:

- Q&A session(s) with regulators
- Digital Showcase, where a long list of solutions, along with all the relevant details, will be virtually published
- Playback session, which highlights 2-3 interesting shortlisted solutions and shares learnings from the Q&A sessions, along with the next steps related to some of the solutions and a quick tour of the Digital Showcase

Presenting Your Solution:

Shortlisted solution providers will virtually present their solution, along with a demo, during a Q&A session with regulatory agencies, consumer advocacy groups, and experts. These sessions will include a small group i.e. 2-4 solution providers per session and are aimed to create a safe space and facilitate open dialogue. The presentations are intended to help solution providers disseminate information about the solutions they developed, and allow them to discuss the unique approach they took to develop their solutions. Solution providers must use digital visual aids (e.g., a video, a slide deck in Microsoft PowerPoint) while virtually presenting the solution. The presentation (including the demo) must be around 10 minutes in length and will be followed by Q&A.

Key Dates:

Event Details	Date(s)
Tech Showcase Launch Announcement	December 6, 2023
"Call for Solutions" - Registration Period	December 6, 2023 - February 29, 2024
Shortlisted Solutions	March 7, 2024
Q&A Sessions (multiple)	March 2024
Digital Showcase - Release	March 2024
Playback Session	April 2024

Post- Tech Showcase:

Following the conclusion of the Tech Showcase, the solutions will continue to be virtually available as part of the Digital Showcase. Doing so is consistent with the objectives of The Tech Showcase, which aims to build broadly accessible solutions for regulators. As an event supported by public funding, The Tech Showcase is not intended to support the development of private, profit-making ventures. At the same time, solution providers are free to promote their participation in The Tech Showcase in order to leverage private funding for advancing those solutions. In addition, the shortlisted solutions can be taken up for further development by an interested regulatory agency or an accelerator. It may be noted that the Organizer would not be responsible for handling these ongoing relationships, once the event concludes.

Additional Terms:

• In the event of any question, difference of views, or dispute regarding compliance with, interpretation, or application of these Rules, the Organizer reserves the right to resolve such questions, differences of views, and disputes in their sole discretion.

- The Organizer does not endorse any solutions or submissions or messages expressed in any solutions or submissions, and expressly disclaim any and all liability in connection with solutions and submissions, to include any disputes between collaborators/team members related to a solution or submission.
- The Organizer reserves the right at any time to verify the validity of participant solutions and submissions, and to disqualify any participant, solution, or submission they deem, in their sole and absolute discretion, to be noncompliant with these Rules. The Organizer also reserves the right to request further documentation, in their sole and absolute discretion, to verify eligibility and compliance with these Rules.
- The Organizer reserves the right to modify, suspend, terminate, or cancel the Tech Showcase, any Tech Showcase event or other component of the Tech Showcase at their sole discretion for any reason.
- The conduct of the Tech Showcase will be carried out in full compliance with applicable U.S. law and the laws of the host countries. In the event of a conflict between applicable U.S. law and event host country laws, U.S. law will apply.
- The Organizer will take reasonable measures to protect privacy data, personally identifiable information, and other sensitive data of participants. Contact information provided by participants may be stored by the Organizer and may be used to contact participants for other purposes in the future. Such information may be made available to third parties, to the extent permitted by applicable law.
- The Organizer assumes no liability or responsibility for any loss or harm resulting from a solution provider's participation in or attempt to participate in the Tech Showcase or ability or inability to upload, download, or otherwise access any information in connection with participating in the Tech Showcase.
- The Organizer assumes no responsibility or liability for technical problems, or technical malfunctions arising in connection with the operation of the Tech Showcase, including: hardware or software errors; faulty computer, telephone, cable, satellite, network, electronic, wireless or Internet connectivity, or other online communication problems; errors or limitations of any Internet service providers, servers, hosts or providers; garbled, jumbled, or faulty data transmissions; failure of any e-mail transmissions to be sent or received; lost, late, delayed, or intercepted e-mail transmissions; inaccessibility of a the Tech Showcase-related site in whole or in part for any reason; traffic congestion on the Internet or specifically on a the Tech Showcase-related site; unauthorized human or non-human intervention in the operation of The Tech Showcase, including without limitation, unauthorized tampering, hacking, theft, virus, bugs, or worms; or destruction of any aspect of the

- Tech Showcase, or loss, miscount, misdirection, inaccessibility, or unavailability of an email account used in connection with the Tech Showcase.
- The Organizer bears no responsibility for and have the right to refuse at their sole and absolute discretion, any submissions that have been altered or tampered with, or are otherwise misdirected, incomplete, non-conforming, corrupt, lost, late, or ineligible, whether due to Internet or e-mail server failure or otherwise. Proof of transmission of a submission will not constitute proof of receipt.
- Solution Providers are responsible for keeping their event organizer informed of any changes to their contact or other pertinent information during The Tech Showcase.
- Use of the Tech Showcase-related site is at a participant's own risk. The Organizer is
 not responsible for any personal injury, property damage, or losses of any kind
 which may be sustained to computer equipment resulting from participation in the
 Tech Showcase, use of a Tech Showcase-related site or the downloading of
 information from such a site.
- The Organizer is not liable in the event that any portion of the Tech Showcase is canceled or delayed due to weather, fire, strike, acts of war or terrorism, or any other reason.