PROGRAM

Friday August 2, 2019

8:30 - 9:00  Registration

9:00 - 9:15  Welcome from Jo Ann Barefoot, Founder & CEO of AIR

9:15 - 10:00  Team Presentations

10:00 - 10:20  Keynote: Jelena McWilliams, Chairman, FDIC

10:20 - 10:35  Break

10:35 - 11:30  Livestream of UK Demos

11:30 - 11:45  Samantha Emery, Innovate – Head of Department Financial Conduct Authority

11:45 - 12:15  UK Speeches (Streaming)
Christopher Woolard, Board Member and Director, Financial Conduct Authority

Nick Cook, Director of Innovation
Financial Conduct Authority

Marshall Billingslea, Asst. Secretary for Terrorist Financing, U.S. Department of Treasury

12:15 - 12:25  Closing from Steve Beattie, Ernst & Young

12:25 - 12:30  Award Prizes: US Teams

12:30  Closing and Acknowledgements from AIR

1:00  After Party: City Tap House, Penn Quarter
2019 DC TECHSPRINT TEAMS

HyperIDV
Cross River Bank
Protiviti
Quantiply
Square

GraphFight
CFPB
Ernst & Young
Microsoft
Standard Chartered Bank

Sharing is Caring
Enveil
Ernst & Young
FDIC
JPMorganChase
Protiviti
Square

Short Story Long
Citizens Bank
Ernst & Young
FDIC
FTC
Varo Money

Hermes
Microsoft
Sumitomo Bank

JUDGES

Jo Ann Barefoot, Co-founder and CEO, AIR
Steve Beattie, Leader, Global Financial Crime Operations & Advisory, EY
Samantha Emery, Innovate - Head of Department, FCA
Jelena McWilliams, Chairman, FDIC
Hans Morris, Managing Partner, Nyca Partners
Josh Reich, Co-Founder, Simple Finance
John Ryan, President, Conference of State Bank Supervisors (CSBS)
SPEAKERS

Jo Ann Barefoot, Co-Founder & CEO, Alliance for Innovative Regulation
Jo Ann Barefoot is Co-Founder and CEO of the Alliance for Innovative Regulation (AIR), CEO of Barefoot Innovation Group, Cofounder of Hummingbird Regtech, Senior Advisor to the Omidyar Network, and host of the podcast show Barefoot Innovation. She is also Senior Fellow Emerita at the Harvard Kennedy School Center for Business & Government and is writing a book on financial innovation and regulation. She has been Deputy Comptroller of the Currency, partner at KPMG, Co-Chairman of Treliant Risk Advisors, and staff member at the U.S. Senate Banking Committee.

Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation
Jelena McWilliams was sworn in as the 21st Chairman of the FDIC on June 5, 2018. She serves a six-year term on the FDIC Board of Directors and is designated as Chairman for a term of five years. An attorney, Ms. McWilliams was previously Executive Vice President, Chief Legal Officer, and Corporate Secretary for Fifth Third Bank in Cincinnati, Ohio. Prior to joining Fifth Third Bank, Ms. McWilliams worked in the U.S. Senate for six years, most recently as Chief Counsel and Deputy Staff Director with the Senate Committee on Banking, Housing and Urban Affairs, and previously as Assistant Chief Counsel with the Senate Small Business and Entrepreneurship Committee.

Samantha Emery, Interim Head of Innovation Department, UK Financial Conduct Authority
Samantha Emery is FCA Innovate’s Head of Department. She previously was part of the agency’s Payments Management Team, which leads the supervision of PSR / EMR firms at the FCA. Ms. Emery held earlier senior positions at RBS including as a Director in non-core leading the restructuring of the RBS business; Head of Digital Product Delivery in Global Transaction Services; and Delivery Head for digital innovation, strategy design, transformation and regulatory change. A passionate diversity advocate, Samantha sits on the Steering Committee of Spectrum, the FCA’s BAME (Black, Asian and Minority Ethnic) network.
Christopher Woolard, Board Member and Director, UK FCA
Christopher Woolard is the FCA’s Executive Director for Strategy and Competition and a member of its Board. He is responsible for policy, strategy, competition, economics, market intelligence, consumer issues, communications and FCA Innovate. He a non-executive board member of the Payment Systems Regulator. Mr. Woolard joined the FCA in January 2013. Prior to this he has held senior roles at Ofcom, the BBC and in the UK civil service. He is a Sloan Fellow of London Business School.

Nick Cook, Director of Innovation, UK Financial Conduct Authority
Nick Cook heads a new division that oversees financial innovation (including the agency’s regulatory sandbox), regtech, data science. He previously headed the FCA’s Regtech Unit, which originated its Tech Sprint events - the first events of their kind convened by a financial regulator. He has been responsible for implementing the FCA’s Data Strategy, and reforming the way the FCA collects, governs and uses data to inform its decision-making. Nick is the FCA’s representative on the European Securities and Markets Authority’s (ESMA) Financial Innovation Standing Committee and is an advisor to the RegTech for Regulators Accelerator Programme.

Marshall Billingslea, Assistant Secretary for Terrorist Financing, U.S Department of Treasury
Marshall Billingslea is Assistant Secretary of the US Treasury and leads its Office of Terrorist Financing and Financial Crimes. He works with members of the national security community in the US and globally to identify and address threats posed or advanced by illicit finance. His focus includes money laundering, terrorist financing, WMD proliferation, and other criminal and illicit activities. From 2009-2017, Mr. Billingslea was a Managing Director with Deloitte Financial Advisory Services, running its Federal Business Intelligence Services group.

Steve Beattie, Partner/Principal, Global Financial Crime Operations, EY
Steve Beattie brings extensive and diverse experience in supporting global financial services firms as they deal with risk, control and regulatory challenges. As an original architect of the firm’s Anti-Money Laundering and Sanctions Advisory services, he is also the EY Global Financial Crime Operations Leader, focusing on providing innovative, technology-enabled teams and services across the domain of financial crimes operational needs. Previously he led the development of offshore service strategies. In his work he brings high-quality, low-cost services developed with a deep understanding of regulatory requirements.
WHAT IS A TECHSPRINT?

TechSprints were initiated by the UK Financial Conduct Authority’s regtech unit as a tool for regulatory innovation. They are multi-day events that use a “hackathon” format to bring together diverse participants, especially regulatory subject matter experts and software developers. Working with synthetic or public data, teams collaborate to build technology solutions to regulatory challenges, typically producing demonstrations that include computer code. These are sometimes then further incubated by regulators or others into working versions. Topics to date have included anti-money laundering, finance and mental health, pension issues, and regulatory reporting, including a sprint on machine-executable reporting exploring how to make some regulatory mandates essentially self-implementing.

ALLIANCE FOR INNOVATIVE REGULATION (AIR)

AIR is a nonprofit founded in 2019 to help catalyze and guide conversion of the financial regulatory system to digitally-native design. Our work includes thought leadership, convenings, a policy accelerator, and support to regulatory agencies.

https://www.regulationinnovation.org/

RESOURCES

Barefoot Innovation Group Podcasts
- https://www.jsbarefoot.com/podcast

Harvard Kennedy School of Government Working Papers
- M-RCBG Associate Working Paper No. 110: Regulation Innovation: Using Digital Technology to Protect and Benefit Financial Consumers